

**FACSIMILE COVER SHEET**

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February 16, 2006

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**TO: Examiner Fubara**

**GROUP: 1618**

**FAX NUMBER: 571-273-8300**

**ATTORNEY DOCKET NO.: PENN-0789**

**SERIAL NO.: 10/046,504**

**FILED: October 19, 2001**

**NUMBER OF PAGE: 23**

**MESSAGE:** Attached please find Amendment Transmittal Letter; Reply to Office Action mailed November 16, 2006 and Certificate of Transmission by Facsimile.

**Kathleen A. Tyrrell, Registration No. 38,350**

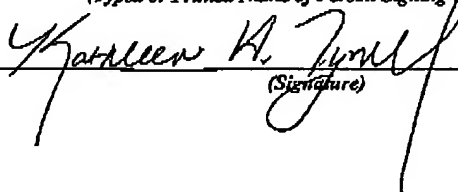
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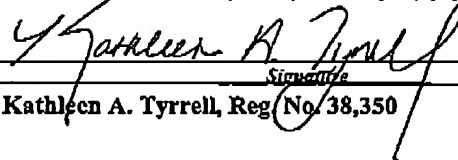
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FEB 16 2006

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): Siegel et al.			Docket No. PENN-0789
Application No. 10/046,504	Filing Date October 19, 2001	Examiner Fubara, Blessing M.	Group Art Unit 1615
Invention: Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for Their Production and Use			
<p>I hereby certify that this <u>Reply under 35 CFR 1.111</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>) on <u>February 16, 2006</u> (Date)</p> <p style="text-align: right;"><u>Kathleen A. Tyrrell</u> (Typed or Printed Name of Person Signing Certificate)  (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			

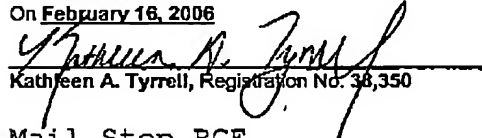
<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>				Docket No. <b>PENN-0789</b>									
Applicant(s): <b>Siegel et al.</b>													
Application No. <b>10/046,504</b>	Filing Date <b>October 19, 2001</b>	Examiner <b>Fubara, Blessing M.</b>	Customer No. <b>26259</b>	Group Art Unit <b>1615</b>	Confirmation No. <b>3358</b>								
Invention: <b>Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for Their Production and Use</b>													
<b>COMMISSIONER FOR PATENTS:</b>													
Transmitted herewith is an amendment in the above-identified application.													
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27													
The fee has been calculated and is transmitted as shown below.													
<b>CLAIMS AS AMENDED</b>													
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE								
TOTAL CLAIMS	10 -	20 =	0	x \$25.00	\$0.00								
INDEP. CLAIMS	2 -	3 =	0	x \$100.00	\$0.00								
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00								
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>								
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>50-1619</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>													
 Kathleen A. Tyrrell, Reg. No. 38,350			Dated: <b>February 16, 2006</b>										
CC:			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</td> </tr> <tr> <td style="width: 50%; text-align: center;">(Date)</td> <td style="width: 50%;"></td> </tr> <tr> <td colspan="2" style="text-align: center;">Signature of Person Mailing Correspondence</td> </tr> <tr> <td colspan="2" style="text-align: center;">Typed or Printed Name of Person Mailing Correspondence</td> </tr> </table>			I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on		(Date)		Signature of Person Mailing Correspondence		Typed or Printed Name of Person Mailing Correspondence	
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: PENN-0789  
Inventors: Siegel et al.  
Serial No.: 10/046,504  
Filing Date: October 19, 2001  
Examiner: Fubara, Blessing M.  
Customer No.: 26259  
Group Art Unit: 1615  
Confirmation No.: 3358  
Title: Polymer-based Surgically  
Implantable Haloperidol Delivery  
Systems and Methods for Their  
Production and Use

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Kathleen A. Tyrrell, Registration No. 38,350

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Amendment under 35 C.F.R. 1.111

This is a reply to the Office Action mailed November 16, 2005 setting a three (3) month statutory period for response. Please enter the following amendments and remarks into the record.

Attorney Docket No.: PENN-0789  
Inventors: Siegel et al.  
Serial No.: 10/046,504  
Filing Date: October 19, 2001  
Page 2

Amendments to the claims are reflected in the listing  
of claims which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.